



#26/Reply  
Brief  
[12131/1]  
Lowman  
3-5-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**BOARD OF PATENT APPEALS AND INTERFERENCES**

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In re Application of: : Examiner: R. Jeanty  
BRANDER et al. :  
:   
For: ENHANCED MATCHING APPARATUS :  
AND METHOD FOR POST-TRADE : Art Unit: 3623  
PROCESSING AND SETTLEMENT :  
OF SECURITIES TRANSACTIONS :  
:   
Filed: November 21, 1997 :  
:   
Serial No.: 08/976,159 :  
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Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Assistant Commissioner for Patents, Washington, D.C. 20231 on  
19 Feb 2003  
Michelle Carniaux (Reg. No. 36,098)

**REPLY BRIEF**

SIR:

Appellants submit the present Reply Brief in response to the Examiner's  
Answer mailed January 31, 2003. Two duplicate copies of this Reply Brief are also being  
submitted herewith as a courtesy to the Patent Office.

For at least the reasons set forth below and in the Supplemental Appeal Brief  
mailed on November 19, 2002 and the Appeal Brief mailed on May 8, 2002, the rejections  
of claims 1-10 and 12-36 should be reversed.

**REMARKS**

Claims 1-9, 12-13 and 21-36 stand rejected under 35 U.S.C. § 103 over The  
Depository Trust Company filing, in view of the Hawkins patent ("Issue A"). Claims 14-  
17 and 19-20 stand rejected under 35 U.S.C. § 103 over The Depository Trust Company  
filing in view of the Lupien patent ("Issue B"). Claim 19 stands rejected under 35 U.S.C.  
§ 103 over The Depository Trust Company filing view of the Lupien patent and the